

10/26/00
Jc891 U.S. PTO

NEW UNITED STATES UTILITY PATENT APPLICATION
under 37 C.F.R. 1.53(b)

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Atty. Docket No. 02441.00001

Assistant Commissioner of Patents
Box Patent Applications
Washington, D.C. 20231

Jc825 U.S. PTO
09/696194
10/26/00

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Edward C. Lavelle and Derek O'Hagan

Title of Invention: PLANT LECTINS AS MUCOSAL ADJUVANTS

1. ☒ Specification 44 pages (including specification, claims, abstract) / 31 claims (1 independent)
2. ☒ Declaration/Power of Attorney is:
☐ attached in the regular manner.
☒ NOT included, but deferred under 37 C.F.R. § 1.53(f).
3. ☒ 22 Distinct sheets of ☒ Formal ☐ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement
☐ Form 1449
☐ A copy of each cited prior art reference
6. ☐ Assignment with Cover Sheet.
7. ☒ Priority is hereby claimed under 35 U.S.C. § 120 based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)
U.S.	60/161,371	26 October 1999

8. ☐ Priority document(s).
9. ☐ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.
☐ Computer Readable Copy.
☐ Paper Copy (identical to computer copy).
☐ Statement verifying identity of above copies.

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12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R. § 1.16(a))			\$710.00
Total Claims in Excess of 20 (37 C.F.R. § 1.16(c))	11	18.00	\$198.00
Independent Claims in Excess of 3 (37 C.F.R. § 1.16(b))	0	80.00	\$0.00
Multiple Dependent Claims (37 C.F.R. § 1.16(d))	0	270.00	\$0.00
Subtotal - Filing Fee Due			\$908.00
			REDUCE BY (%) (\$)
Reduction by 50%, if Small Entity (37 C.F.R. §§ 1.9, 1.27, 1.28)	0		\$0.00
TOTAL FILING FEE DUE			\$908.00
Assignment Recordation Fee (if applicable) (37 C.F.R. § 1.21(h))	0	40.00	\$0.00
GRAND TOTAL DUE			\$908.00

13. PAYMENT is:

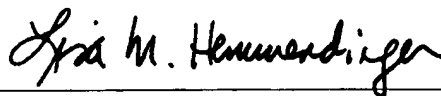
- ☐ included in the amount of the GRAND TOTAL by our enclosed check. A general authorization under 37 C.F.R. § 1.25(b), second sentence, is hereby given to credit or debit our Deposit Account No. 19-0733 for the instant filing and for any other fees during the pendency of this application under 37 C.F.R. §§ 1.16, 1.17 and 1.18.
- ☒ not included, but deferred under 37 C.F.R. § 1.53(f).

14. All correspondence for the attached application should be directed to:

Anne S. Dollard
Chiron Corporation
4560 Horton Street
Emeryville, California 94608-2917
Telephone: (510) 655-8730
Facsimile: (510) 655-3542

15. Other: _____

Date: October 26, 2000

By: 
Lisa M. Hemmendinger
Reg. No. 42,653

LMH/ama